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Sheet	1	of	2
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Application Number	10/027,421
Filing Date	DECEMBER 20, 2001
First Named Inventor	VLADIMIR GRUSHIN ET AL.
Group Art Unit	2015 2813
Examiner Name	UNKNOWN KIELYN
Attorney Docket Number	PE0649 US CIP

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Enrico

6/25/2005

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 2

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Group Art Unit	2815 2813
Examiner Name	UNKNOWN KIELIN
Attorney Docket Number	10/027,421

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
EK		DJUROVICH, PETER I. ET AL., Ir(III) Cyclometalated Complexes As Efficient Phosphorescent Emitters in Polymer Blend and Organic LEDs, Polymer Preprints, 2000, 770-771, 41(1)	<input type="checkbox"/>
EK		CHATANI, NAOTO ET AL., Ru3(CO)12-Catalyzed Reaction of Pyridylbenzenes with Carbon Monoxide and Olefins. Carbonylation at a C-H Bond in the Benzene Ring, J. Org. Chem., 1997, 2604-2610, 62, American Chemical Society	<input type="checkbox"/>
EK		GOSMINI, CORINNE ET AL., Electrosynthesis of functionalized 2-arylpyridines from functionalized aryl and pyridine halides catalyzed by nickel bromide 2,2'-bipyridine complex, Tetrahedron Letters, 2000, 5039-5042, 41, Elsevier Science Ltd.	<input type="checkbox"/>
EK		CACCHI, SANDRO ET AL., The Palladium-Catalyzed Transfer Hydrogenation/Heterocyclization of 8-(2-Aminophenyl)-a,B-ynones. An Approach to 2-Aryl- and 2-Vinylquinolines, Synlett, 1999, 401-404, No. 4, Thieme Stuttgart, New York	<input type="checkbox"/>
EK		BALDO, M. A. ET AL., Very high-efficiency green organic light-emitting devices based on electrophosphorescence, Applied Physics Letters, July 5, 1999, 4-6, 75(1) American Institute of Physics	<input type="checkbox"/>
EK		BALDO, M. A. ET AL., High-efficiency fluorescent organic light-emitting devices using a phosphorescent sensitizer, Nature, February 17, 2000, 750-753, 403, Macmillan Magazines Ltd.	<input type="checkbox"/>
EK		WANG, YUE ET AL., (Hydroxyphenyl)pyridine derivative, its metal complexes and application as electroluminescence material, Chemical Abstracts Service, March 1, 2000, Database No. 133:315395	<input type="checkbox"/>
EK		DEDEIAN K. ET AL., A New Synthetic Route to the Preparation of a Series of Strong Photoreducing Agents: fac Tris-Ortho-Metalated Complexes of Iridium(III) with Substituted 2-Phenylpyridines, inorg. Chem., 1991, 1685-1687, 30(8), American Chemical Society	<input type="checkbox"/>
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First Named Inventor	VLADIMIR GRUSHIN ET AL.
Group Art Unit	2015 2813
Examiner Name	UNKNOWN KIELIN
Attorney Docket Number	PE0649 US CIP

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DUPLICATE → EK		US - 2004/0049782 A1	09/08/2001	IGARASHI ET AL.	
		US - 2001/0053462 A1	12/20/2001	MISHIMA	
		US -			
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₀
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
EK		EP	1175128	A2	01/23/2002	FUJI PHOTO FILM CO.		<input type="checkbox"/>
EK		WO	96/03410	A1	02/08/1996	BOEHRINGER MANNHEIM GMBH		<input type="checkbox"/>
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PTO/SB/08B (08-00)

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/027,421
		Filing Date	DECEMBER 20, 2001
		First Named Inventor	VLADIMIR GRUSHIN ET AL.
		Group Art Unit	2845 2813
		Examiner Name	UNKNOWN- KIELIN
		Attorney Docket Number	PE0649 US CIP
Sheet	2	of	2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BALDO, M.A. et al., High-efficiency fluorescent organic light-emitting devices using a phosphorescent sensitizer, Nature, February 17, 2000, 760-763, Vol. 403	
		DJUROVICH, PETER I. et al., Ir(III) Cyclometalated Complexes as Efficient Phosphorescent Emitters in Polymer Blend and Organic LEDs, Polymer Reprints, 2000, 770-771, 41(1)	
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EX		LOHSE, OLIVIER, et al., The Palladium Catalysed Suzuki Coupling of 2- and 4-Chloropyridines, Synlett, 1999, 45-48, No. 1, Thieme Stuttgart, New York	
EX		BALDO, M.A. et al., Highly efficient phosphorescent emission from organic electroluminescent devices, Nature, September 10, 1998, 151-154, Vol 395	
		DEDEIAN, K. et al., A New Synthetic Route to the Preparation of a Series of Strong Photoreducing Agents: fac-Tris-Cyano-Metalated Complexes of Iridium(III) with Substituted 2-Phenylpyridines, Inorganic Chemistry, 1991, 1685-1687, 30(8)	

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OCT 13 2004

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Substitute for SFR 1440 PRO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2
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Complete if Known


Application Number	10/696,349
Filing Date	OCTOBER 29, 2003
First Named Inventor	VLADIMIR GRUSHIN
Group Art Unit	2813
Examiner Name	UNKNOWN KIELIN
Attorney Docket Number	PE0649USDIV2

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		CountryCode ² Number ⁴ Kind Code ⁵ (if known)				
ER		EP 1 191 814 A2	03-27-2002	Canon Kabushiki Kaisha		<input type="checkbox"/>
ER		WO 00/57676 A1	09-28-2000	Univ. of Southern California		<input type="checkbox"/>
ER		WO 01/41512 A1	06-07-2001	Princeton Univ & University of Southern California		<input type="checkbox"/>
EK		WO 02/02714 A2	01-10-2002	DuPont		<input type="checkbox"/>
ER		WO 02/15645 A1	02-21-2002	Princeton Univ, Univ of So Cal & Universal Display		<input type="checkbox"/>
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Sheet 2 of 2

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Application Number	10/696,349
Filing Date	October 29, 2003
First Named Inventor	VLADIMIR GRUSHIN ET. AL.
Group Art Unit	2813
Examiner Name	UNKNOWN
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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		LAMANSKY, SERGEY ET AL., Highly Phosphorescent Bis-Cyclometalated Iridium Complexes: Synthesis, Photophysical Characterization, and Use in Organic Light Emitting Diodes, J. Am. Chem. Soc., 2001, 4304-4312, 123, American Chemical society	<input type="checkbox"/>
		LAMANSKY, SERGEY ET AL., Synthesis and Characterization of Phosphorescent Cyclometalated Iridium Complexes, Inorg. Chem. 2001, 40, 1704-1711, 40, American Chemical Society	<input type="checkbox"/>
		LAMANSKY, SERGEY ET AL., Molecularly doped polymer light emitting diodes utilizing phosphorescent Ru(II) and Ir(III) dopants, Organic Electronics, 2001, 51-62, 2, Elsevier Science B.V.	<input type="checkbox"/>
EX		ABSTRACT OF JAPANESE PCT Publication WO02/44 189 A1, Luminescent Element and Display, 06-06-2002, Canon Kabushiki Kaisha	<input type="checkbox"/>
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